

1638

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|---|----------------------|------------------------|--------------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 09/025,635             |                          |
|   | Filing Date          | February 18, 1998      |                          |
|   | First Named Inventor | Sheng-Zhi Pang         |                          |
|   | Group Art Unit       | 1638                   |                          |
|   | Examiner Name        | A. Kubelik             |                          |
| Total Number of Pages in This Submission  | 3                    | Attorney Docket Number | 19603/1552 (CRF D-2052D) |

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| Remarks  | <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 14-1138 for the above identified docket number.  |   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
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| Firm or Individual name                    | Michael L. Goldman, Esq.<br>Nixon Peabody LLP<br>Clinton Square, P.O. Box 31051<br>Rochester, New York 14603-1051<br>Telephone: (585) 263-<br>Fax: (585) 263-1600 |
| Signature                                  |   |
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| Jo Ann Whalen<br>Typed or printed name  |               |



PATENT  
Docket No.: 19603/1552 (CRF D-2052B)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Pang et al.  
Serial No. : 09/025,635  
Cnfrm. No. : 9815  
Filed : February 18, 1998  
For : DNA CONSTRUCT TO CONFER  
MULTIPLE TRAITS ON PLANTS

Examiner:  
A. Kubelik

Art Unit:  
1638

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Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office, the enclosed references listed on the attached PTO-1449 form.

Pursuant to 37 CFR § 1.97(b)(3), no fee is required. Should it be determined that a fee is required, the Commissioner is authorized to charge any additional fee to Deposit Account No. 14-1138.

Respectfully submitted,

Date:

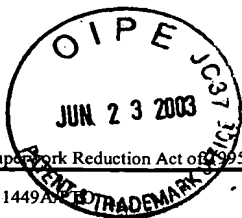
June 18, 2003

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|   |   |    |   | Application Number       | 09/025,635               |
|   |   |    |   | Filing Date              | February 18, 1998        |
|   |   |    |   | First Named Inventor     | Sheng-Zhi Pang           |
|   |   |    |   | Art Unit                 | 1638                     |
|   |   |    |   | Examiner Name            | A. Kubelik               |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | 19603/1552 (CRF D-2052D) |

| U.S. PATENT DOCUMENTS          |                       |  |                                |  |   |
|--------------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials <sup>2</sup> | Cite No. <sup>1</sup> | U.S. Patent Document                       | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                                |                       | Number - Kind Code <sup>3</sup> (if known) |                                |  |   |
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| Examiner Initials <sup>2</sup> | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
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| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |   |                |
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|   | 1                     | Fitch et al., "Virus Resistant Papaya Plants Derived from Tissues Bombarded with the Coat Protein Gene of Papaya Ringspot Virus," <u>Bio/Technology</u> 10:1466-1472 (1992)   |                |
|   | 2                     | Tennant et al., "Differential Protection Against Papaya Ringspot Virus Isolates in Coat Protein Gene Transgenic Papaya and Classically Cross-Protected Papaya," <u>The American Phytopathological Society</u> 84(11):1359-1366 (1994)                           |                |
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